

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE AND TRADEMARK OFFICE

ATTORNEY'S REGISTRATION NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

16NM99181

U.S. APPLICATION NO. (If known see 37 CFR 1.5)

09/914631

INTERNATIONAL APPLICATION NO.

PCT/US01/03311

INTERNATIONAL FILING DATE

01 Feb 2001

PRIORITY DATE CLAIMED

04 Feb 2000

TITLE OF INVENTION

AIR FEED DEVICE, SIGNAL ACQUISITION DEVICE AND IMAGING DEVICE

APPLICANT(S) FOR DO/EO/US

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c) (2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c) (3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c) (4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. To 16. Below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND OR SUBSEQUENT** preliminary amendment
14. ☒ A substitute specification.
15. ☐ A Change of power of attorney and/or address letter.
16. ☒ Other items or information:
9. Original signed Declaration submitted in the International Phase

Express Mail No. ET599050065USDate of Deposit: August 30, 2001

I hereby certify that the application is being deposited with the United States Postal Service under 37CFR 1.10 on the date indicated above and is addressed to the Commissioner Patents and Trademarks, Washington D.C. 20231.

Dolores K. Tillson

Printed Name

Dolores K. Tillson
Signature

U.S. APPLICATION NO. (if known) 37 CFR 1.51 (b) INTERNATIONAL APPLICATION NO.

09/9146

PCT/US01/03311)

ATTORNEY'S DOCKET NUMBER

16NM99181

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445 (a) (2)) paid to USPTO \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1) - (4) \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1,482
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492 (e)). \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	15 - 20 =	0	x \$18.00	\$
Independent claims	3 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			-\$270.00	\$

TOTAL OF ABOVE CALCULATIONS = \$ 860.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are
reduced by 1/2. \$

SUBTOTAL = \$ 860.00

Processing fee of \$130.00 for furnishing the English translation later ☐ 20 ☐ 30
than months from the earliest claimed priority date (37 CFR 1.492 (f)). \$

TOTAL NATIONAL FEE = \$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$

TOTAL FEES ENCLOSED = \$ 860.00

Amount to be:	\$
refunded	
charged	\$

- a. ☐ A check in the of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 09-0470 in the amount of \$ 860.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 09-0470. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))
must be filed and granted to restore the applications to pending status.

SEND ALL CORRESPONDENCE TO:

Jay L. Chaskin
GENERAL ELECTRIC COMPANY
3135 Easton Turnpike - W3C
Fairfield, CT 06431

Signature
Jay L. Chaskin

Name

24030

REGISTRATION NUMBER